## I. ANNEX

# ISA Contract for Exploration – Public Information Template

	Type of resource: Polymetallic Nodules
	Name of Contractor: Deep Ocean Resources
	Development Co., Ltd.
	Contract Start: June 20, 2001 (1st Extension Start:
DORD	June 20, 2016, 2nd Extension Start: June 20, 2021)
	Contract End: June 19, 2016 (Expansion End: June
Sponsoring State: [Japan]	19,2026 (2nd))
	Location: Clarion-Clipperton Fracture Zone

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# Introduction

The information contained in this ISA Contract for Exploration – Public Information Template is made available to the public in response to the request by the Council of the ISA to make contracts publicly available, subject to restrictions on confidential information, industrial secrets and proprietary data.

The content of the present template is in accordance with the Regulations on Prospecting and Exploration for [Polymetallic Nodules in the Area] [ISBA/19/C/17] (the "Regulations").

# 1. Contract Information

Annex III of the Regulations.

Type of resource	Polymetallic Nodules
Name of Contractor	Deep Ocean Resources Development Co., Ltd.
Contract Start	June 20, 2001 (2nd Extension: June 20, 2021)
Contract End	June 19, 2026 (2nd Extension)
Location	Clarion-Clipperton Fracture Zone
Contract Area (km²)	75,000

# 2. Coordinates and Illustrative Chart of the Exploration Area

Schedule 1 of Annex III of the Regulations.

Exploration area located between [coordinates]

Exploration areas are located as follows:

#### West Area

Turning Points	L <u>atitude(N)</u>	L <u>ongitude (W)</u>
1	11° 00′	149° 15′
2	11° 00′	148° 30'
3	10° 48.75′	148° 30'
4	10° 48.75′	147° 30′
5	11° 00′	147° 30'
6	11° 00′	147° 00'
7	10° 45′	147° 00'
8	10° 45′	146° 45′
9	11° 00′	146° 45′
10	11° 00′	146° 07.5′
11	11° 03.75′	146° 07.5′
12	11° 03.75′	145° 48.75'
13	10° 11.25′	145° 48.75'
14	10° 11.25′	146° 15'
15	10° 22.5′	146° 15′
16	10° 22.5′	146° 32′
17	10° 07.5′	146° 32′
18	10° 07.5′	146° 45′
19	09° 37.5′	146° 45′
20	09° 37.5′	146° 30'
21	09° 22.5′	146° 30'
22	09° 22.5′	146° 00'
23	08° 45'	146° 00'
24	08° 45′	147° 44.8′
25	10° 00′	147° 44.8′
26	10° 00′	148° 30'
27	10° 15′	148° 30'
28	10° 15′	149° 30′
29	10° 45′	149° 30′
30	10° 45′	149° 15′
1	11° 00′	149° 15′

# East Area

Turning Points	L <u>atitude(N)</u>	L <u>ongitude (W)</u>
1	15° 39′	132° 55′
2	15° 39′	132° 00′
3	15° 45′	132° 00′
4	15° 45′	131° 00′
5	15° 20′	131° 00′
6	15° 20′	132° 00′
7	14° 40′	132° 00′
8	14° 17.4′	132° 48′
9	14° 17.4′	132° 55′
1	15° 39′	132° 55′

(shapefile format as shown in the ISA https://www.isa.org.jm/maps)



## 3. Plan of Work

#### **Summary**

Through the steady implementation of the following activities, the Contractor's objective in the five-year extension period is to implement the feasibility assessment using highly reliable data to progress transition to the exploitation stage.

Activity	2021	2022	2023	2024	2025
Survey Cruise	0			0	
Resource survey	0	0	0	0	0
Environmental survey	0	0	0	0	0
Mining system & technology	0	0	0	0	0
Processing	0	0	0	0	0
Training programme		0	0		

Table 1: Schedule of programme of activities

#### **Resource Surveys**

The Contractor has selected a High Abundance Area (HAA) as a first targeted area and through resource surveys and the development of a detailed topographic map of identified seabed areas and mineral resources for development. To transition to the exploitation phase, it will be necessary to advance to an indicated resource category in the targeted area. Therefore, the Contractor will conduct more precise estimates of the mineral resources through survey cruise(s), improvement of standard operation procedures (SOPs) for onboard sampling and chemical analysis, etc. Moreover, a desk-top study will be undertaken for investigations on potential target areas other than HAA to secure additional future resources. The Contractor will implement the feasibility assessment while achieving these.

#### **Environmental Surveys**

The Contractor will conduct selection of impact reference zones (IRZ) to develop an environmental management and monitoring plan (EMMP), expansion of baseline studies in the preservation reference zone (PRZ) and evaluation of impacts (e.g., noise, etc.) concerned, other than plumes, that should be implemented for exploitation in the future. Data of various impacts including noise will be obtained when a locomotion test as stated later is carried out. Thus, a considerable degree of information for an environmental impact assessment (EIA) which should be collected ahead of development will be prepared. At the same time, the Contractor will contribute to an effective implementation of the Clarion-Clipperton Zone Regional Environmental Management Plan (CCZ-REMP) by implementing surveys in areas of particular environmental interest (APEIs) from the viewpoint of international cooperation, which are common issues for ISA and the Contractor.

#### **Mining System**

Based on the knowledge acquired in the national project conducted between 1981 and 1997, the Contractor completed the selection of a drive system for the collector (crawler type), a lifting system (hybrid-type riser), and a ground locomotion test of Prototype I. The Contractor will produce an improved

version (Prototype II) to operate for shallow water to advance the previous activities and identify issues in underwater stability through a shallow sea locomotion test of the Prototype II. The Contractor will design the whole system including the collector and lifting systems using simulations of the lifting system and pumps and prepare for the construction of actual haul and conveyor systems. At the same time, it will prepare a feasibility assessment by updating CAPEX and OPEX data.

#### **Processing Technology**

The smelting and chlorine leaching (SCL) method was selected from several smelting methods and optimal conditions, issues for scaleup, slow cooling conditions of refined matte have been clarified. The Contractor will conduct a scale-up test for the SCL method and update the material balance that was developed in 2014. Necessary information for feasibility assessment, including the estimation of the recovery percentage of metals, understanding the optimum conditions and flow for phase separation by a preliminary mineral dressing test, and identifying various issues in refining and related processes will be accumulated from this test.

# 4. Programme of Activities and Exploration Expenditure

Section 4.1 of Annex IV of the Regulations and Schedule 2 of Annex III of the Regulations.

## I. Agreed 5-year Programme of Activities

5-year Programme of Activities	First	Second		Third	Extension
General Objectives	Objective		Des	cription	
	Resource survey Environmental s Mining system 8 technology Processing Training program	surveys &	<u>Mi</u>	the tech a survey Improve Improve resource Re-esting resource organization Considerate areas of Abunda nvironment Selection Referent research Reservation Research Impact of Developenviron Contribution Contribution Selection Research research Research Impact of Developenviron Contribution Contribution Selection Research Impact of Product Product Product Product Product Product Product Shallow Lifting selection Review Re	regarding issues pointed in inical report, and making y cruise plan ement of SOP ement of accuracy of e estimation mation of mineral e by a third-party ention eration on potential target ther than HAA (High nice Area)  atal surveys: In of IRZ (Impact ice Zone) and additional in PRZ (Preservation tion Zone) h of other environmental factors and provisional EIA oment of a comprehensive mental management plan ution to CCZ-REMP of ISA

<u>Training Programme</u> - At-sea training consisting of on- board training programme in
collaboration with AIST*'s research cruise and pre- and
post- cruise programmes  *AIST (The National Institute of Advanced Industrial Science and Tochnology)
Technology)  - On-land training provided in cooperation with Japanese
institutions relating to ocean resources development which are specialized in
exploration technology and marine science

# II. Results achieved during reported year [#]: [year]

	Annual objectives and activities					
Year	No.	Agreed Objectives	Objective: Completed, Modified, Postponed or Replaced			
2021/2022	1	Conduct of survey cruise, resource surveys, environmental surveys, mining system & technology and processing	The Objectives for 2021/2022 was completed.			
2022/2023	2	Conduct of resource surveys, environmental surveys, mining system & technology, processing, and training programme	The objectives for 2022/2023 was completed.			
2023/2024	3	Conduct of survey cruise, resource surveys, environmental surveys, mining system & technology and processing	The objectives for 2023/2024, except for the survey cruise, were completed.  The survey cruise (objective for 2023/2024) was replaced with the Training program (objective for 2024/2025), and the training program was completed.			
2024/2025	4	Conduct of resource surveys, environmental surveys, mining system & technology, processing, and training programme	survey cruise (objective for 2023/2024) are in			

2025/2026	5	Conduct of resource surveys,	To be completed
		environmental surveys,	
		mining system & technology	
		and processing	

# **5. Training Programme**

Schedule 3 of Annex III of the Regulations.

## I. <u>Training Programme</u>

Type of	At-Sea training programme	On-land training programme
training		
Institutions	AIST: National Institute of	Japanese institution(s) related to
	Advanced Industrial Science and	science of mineral resources of
	Technology	ocean
Duration	18 days (2022), 11 days(2023)	12 days (2022), 28 days(2023)
Scope	Capacity building of trainees from	Capacity building of trainees from
	the Authority and developing states	developing states through
	through on-board works and	classroom lecture courses in the
	environmental survey	field of marine science and
		technology
Fields	Marine resources/ environmental	Research skills for ocean
	survey technique	development
Qualification	- Hold a graduate degree in	<ul> <li>Hold a graduate degree in</li> </ul>
required	science or engineering in the	science or engineering in the
	relevant field of geology,	relevant field of geology,
	geophysics, mineral	geophysics, mineral
	processing, mining or have	processing, mining or have
	an equivalent educational	an equivalent educational
	background;	background;
	- Have at least one year of	- Have at least one year of
	work experience in the	work experience in the
	relevant field;	relevant field;
	- Have sufficient knowledge	- Have sufficient knowledge
	of English for daily	of English for daily
	conversation and training;	conversation and training;
	- Be less than 45 years of age;	- Be less than 45 years of age;
	and	and
	Have seagoing experience	Have seagoing experience
Financing	To be borne by DORD	To be borne by DORD

# II. Trainings conducted up to reported year [#]: [year]

Start	End	Name of	Nationality	Gender	Type of	Details	Dura
year	Year	Trainee			Programme		tion
2022	2022	Simataa	Namibia	Female	Both at-	The training	40da
		Charlene			sea	programme	ys
		Tara	Tanzania	Male	training	consisted of on	
		Godfrey			and on-	land and at sea.	
		Haileka	Namibian	Male	land	As for on-land	
		Kelongo			training	training,	
		Farah	Somali	Male	Craming	classroom	
		Abdirizak				Ciassiduili	

	ı	1 _	I	I			1
		Bauro	Kiribati	Female		lectures were	
		Tokabai				primarily	
						conducted in	
						Tokyo. The at-sea	
						training was	
						conducted in the	
						seas near	
						Nagasaki	
						Prefecture with	
						cooperation by	
						the National	
						Institute of	
						Advanced	
						Industrial Sciecne	
						and Technology	
						(AIST)	
2023	2023	Md. Jahangir	Bangladesh	Male	Both at-sea	The training	40da
		Alam			training and	programme	ys
		ljeoma	Nigeria	Female	on-land	consisted of on land	
		Orji			training	and at sea. As for	
		Camila	Argentina	Female		on-land training,	
		Stempels				classroom lectures	
		Bautista	14	- 1		were primarily	
		Patience	Kenya	Female		conducted in Tokyo.	
		Makungu	Torrestor	N 4 - 1 -		The at-sea training	
		Sapolu	Tuvalu	Male		was conducted in	
		Tetoa				the seas near	
						Nagasaki Prefecture	
						with cooperation by	
						the National	
						Institute of	
						Advanced Industrial	
						Sciecne and	
						Technology (AIST)	

## III. Completed Trainings per Year

	At-sea training programme	On-land training programme
Year 1	n/a	n/a
Year 2	Completed	Completed
(2022)		
Year 3	Completed	Completed
(2023)		
Year 4	n/a	n/a
Year 5	n/a	n/a

# 6. Standard clauses

Annex IV of the Regulations.