TEMPLATE FOR SUBMISSION OF TEXTUAL PROPOSALS DURING THE 28TH SESSION: COUNCIL - PART II **Informal Working Group - Environment**

Please fill out one form for each textual proposal which your delegation(s) wish(es) to amend, add or delete.

1. Name(s) of Delegation(s) making the proposal:

Federal Republic of Germany

2. Please indicate the relevant provision to which the textual proposal refers.

Draft Regulation 47ter

Green text is in original draft; blue text indicates Germany's textual proposals

3. Kindly provide the proposed amendments to the regulation or standard or guideline in the text box below, using the "track changes" function in Microsoft Word. Please only reproduce the parts of the text that are being amended or deleted.

[Regulation 47 ter

Environmental Impact Assessment Scoping Report

- 1. The applicant or Contractor shall prepare and submit to the Secretary-General a scoping report in accordance with this regulation and in the format prescribed in Annex IV.
- 2. An application or Contractor shall use environmental impact assessment scoping to identify and prioritize the main activities and potential impacts associated with the proposed mining operation, in order to focus the Environmental Impact Assessment and Environmental Impact Statement on the key environmental issues.
- <u>3.</u> In undertaking the environmental impact assessment scoping process, the applicant or Contractor shall:
- (a) Review available data and knowledge, and propose additional data to be collected and studies needed to complete an Environmental Impact Statement in accordance with these regulations,
- (b) Undertake a preliminary impact analysis and environmental risk assessment which will be updated as the environmental impact assessment proceeds,

- (c) I Proactively identify Stakeholders in accordance with relevant Standards and taking into account any Guidelines, and
- (d) Identify and evaluate feasible alternative means of carrying out the project that will be examined in the environmental impact assessment.
- <u>4.</u> <u>An environmental Impact Assessment Scoping Report shall include the</u> following:
 - (a) A brief description of the proposed Exploitation activities and any ancillary features, including what is known or anticipated about where the mining will occur within a Contract Area and the mining machinery to be used,
 - (b) A description and overview of tentative timelines and deadlines for the proposed Exploration and any associated activities,
 - (c) A description of what is known about the environmental setting, including Underwater Cultural Heritage, for the project (Contract Area and regional setting),
 - (d) A description of information for the project that is not yet known but must be, or should be known, including baseline data, and a plan for gaining that information prior to commencement of the exploitation activities,
 - (e) A summary of existing environmental baseline studies, and, where available, relevant traditional knowledge of indigenous peoples and local communities including a description of methodology for collecting and analyzing the baseline data,
 - (f) A summary of gaps in environmental baseline including description of methodology for collecting and analyzing additional baseline data to inform the Environmental Impact Assessment.
 - (g) A description of the technical, spatial and temporal boundaries for the Environmental Impact Assessment,
 - (h) A list of any assumptions relied upon and identification and quantification of the uncertainties at this stage of the Environmental Impact Assessment, how they are being addressed, and assessment of their implications to the environmental risk assessment findings,
 - (i) A preliminary impact analysis which categorizes the important issues into high-risk, medium-risk and low-risk for the Environmental Impact Assessment to address and evaluates the need for further information, taking into account the environmental risk assessment,
 - (i) An environmental risk assessment, which includes:
 - (i) The identification of potential hazards,
 - (ii) The environmental consequence for each identified potential impact(s) (the magnitude of the impact(s), the duration of the impacts, and the receptor characteristics),
 - (iii) A description of the cumulative effects of the project, combined with other authorized, anticipated, or expected activities, actions, or natural phenomena,
 - (iv) The likelihood of the consequence occurring,

- (v) The confidence levels of experts, in order to account for uncertainty and a precautionary approach,
- (vi) A description of the methodology employed in the environmental risk assessment,
- (k) A description of the results of the environmental risk assessment, including identification of high priority risks for local and regional ecosystem functioning over short and long term, requiring particular focus in the subsequent impact assessment phase of the Environmental Impact Assessment,
- (I) A preliminary Stakeholder list that proactively identifies likely

 Stakeholders, and an indicative schedule and methodology for
 engagement with key Stakeholders throughout the
 Environmental Impact Assessment process, taking into
 account to not to publish personal information of identified
 stakeholders,
- (m) A report of consultations undertaken during scoping.
- (n) A consideration of reasonable alternative means of carrying out the project that will be examined in detail in the Environmental Impact Assessment, including a no-action alternative, and any others that have been not carried forward for further analysis at this stage, and the reasons for that selection,
- (o) A draft Terms of Reference for the Environmental Impact

 Assessment, which identifies the activities and studies
 planned for the Environmental Impact Assessment, and any
 additional baseline data that will be required,
- (a)(p) An explanation for how the activities and studies planned for the Environmental Impact Assessment will be sufficient to determine likely environmental impacts, and to propose Mitigation and management strategies and monitoring methodology,
- (b)(q) A brief description of the socioeconomic and sociocultural aspects of the project, including sociocultural uses of the project area (e.g., traditional navigation routes, migratory paths of culturally significant marine species, sacred sites and waters associated with ritual or ceremonial activities of Indigenous Peoples and local communities).
- (e)(r) A note describing and explaining any divergence from relevant ISA Guidelines.
- <u>5.</u> Upon receipt of a scoping report from an applicant or Contractor, the Secretary- General shall:
- (a) Make the report available on the Authority's website [for a period of at least 60 days], with an invitation for members of the Authority and Stakeholders to submit comments in writing within a period of [90 days];
- (b) Following the close of the comment period under paragraph (1)(a), provide any comments received to the applicant or Contractor [within 2 weeks] [Russia] a specified timeframe for their response within [60 Days];

- (c) At the expiry of the timeframe specified in paragraph (1) (b), provide the Commission with the scoping report, any stakeholder comments received, and any responses to those comments from the applicant or Contractor.
- <u>6.</u> The Commission shall consider a scoping report submitted in accordance with this regulation, and any comments and responses received, in accordance with any

relevant Standards and taking into account Guidelines. Based on this review, the Commission shall approve a scoping report, disapprove it or make recommendations to the applicant or Contractor regarding the proposed environmental impact assessment, accompanied by a detailed rationale.

- 7. The Commission's recommendations under the previous paragraph [paragraph 6] may include recommendation:
 - (a) To revise the environmental risk assessment or other aspects of the scoping report based on different methodology or inputs,
 - (b) To amend the proposed terms of reference for the environmental impact assessment, or
 - (c) To re-submit a revised scoping report for further Stakeholder consultation and Commission review, in the case where uptake of any of the Commission's recommendations are likely to lead to a Material Change in the Scoping Report.
- 8. The applicant or Contractor shall take into account the Commission's recommendations under this regulation, before proceeding with an environmental impact assessment process. Furthermore, the applicant or Contractor shall agree the final contents of the Scoping Report with the Commission.]

4. Please indicate the rationale for the proposal. [150 word limit]

This regulation should follow DR47 (EIA process), as Scoping is the first step in the overall process.

We look forward to further intersessional work (as proposed by the UK) on streamlining the provisions for the EIA.